SEC	Form 4	

Warrants

Warrants

Warrants<sup>(9)</sup>

Warrants<sup>(9)</sup>

Warrants

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).										<b>5 IN I</b>	IP	OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5								
				-	or S	Section	on 30	(h) of	the Inv	vestmer	nt Corr	npany /	Act of 1940							
1. Name and Address of Reporting Person <sup>*</sup> <u>TAGLICH ROBERT</u>																elationship of Reporting Person(s) to Issuer (ck all applicable) C Director X 10% Owner Officer (give title Other (specify below) below)				
(Last) (First) (Middle) C/O TAGLICH BROTHERS, INC. 37 MAIN STREET				3. Date of Earliest Transaction (Month/Day/Year) 01/04/2021																
(Street) COLD SPRING HARBOR NY 11724					4. If A	Amer	ndmer	nt, Dat	te of C	Driginal I	nal Filed (Month/Day/Year)					6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(St	ate)	(Zip)																	
		Та	ble I - Noi	n-Deriv	ative	Se	curi	ties	Acqu	uired,	Disp	osed	d of, or l	Bene	ficially	Owned				
Date				2. Transa Date (Month/I		r)   E	2A. Deemed Execution Date, if any (Month/Day/Year			3. Transa Code ( 8)		4. Sec Dispo	curities Acquired (A) or bsed Of (D) (Instr. 3, 4 and 5)			Securities Beneficially		Form: Direct II (D) or Indirect E (I) (Instr. 4) C		7. Nature of Indirect Beneficial Ownership (Instr. 4)
										Code	v	Amou	int (#	() or ()	Price	Transaction(s) (Instr. 3 and 4)				. ,
Common	Stock			01/04	/2021					J <sup>(1)</sup>		12,	,857	Α	\$1.23	2,243,162			D	
Common Stock																239,946			I	See Note <sup>(2)</sup>
Common Stock																44,7	44,760			See Note <sup>(3)</sup>
			Table II -										of, or Be rtible se			wned				
Title of Derivative Security Instr. 3)	Conversion Date E or Exercise (Month/Day/Year) if		3A. Deemed Execution Da if any (Month/Day/	4. Tra	4. Transaction Code (Instr.		5. Number of		6. Date Exercisable and Expiration Date (Month/Day/Year)				7. Title and Amount o Securities Underlying Derivative Security (Ir 3 and 4)		unt of lying	8. Price of Derivative Security (Instr. 5) Benefic Owned Followi Report Transa (Instr. 4		ive Ownersh ies Form: ially Direct (D or Indirec (I) (Instr. ed ction(s)		Beneficial Ownershi (Instr. 4)
				Co	de V	V (A)	(A)	(D)	Date Exerc	cisable	Expii Date	ation	Title	Amou Numi Share	per of					
itock Options	\$2.38								12/3	1/2020	12/31	/2026	Common Stock	1(	),000		10,0	00	D	
Stock Options	\$1.28								12/3	1/2019	12/31	/2025	Common Stock	10,000			10,0	00	D	
Stock Options	\$1.59								12/3	1/2018	05/31	/2023	Common Stock	13	3,000		13,0	00	D	
Stock Options	\$4.64								12/3	1/2017	06/01	/2021	Common Stock	3,000			3,00	)0	D	
Stock Options right to ourchase)	\$1.69								01/0	2/2018	12/31	/2022	Common Stock	3,000			3,000		D	
Convertible Notes <sup>(4)</sup>	\$1.5								09/3	0/2018	12/31	/2020	Common Stock	433,333 <sup>(5)</sup>			\$650,000		D	
Convertible Notes	\$0.93								01/1	5/2019	12/31	/2020	Common Stock	1,10	<b>3,225</b> <sup>(5)</sup>		\$1,026	,000	D	
Convertible	\$1.5					Ī			09/3	0/2018	12/31	/2020	Common Stock	254	,666 <sup>(5)</sup>		\$382,	000	I	See Note(
Notes																				

Common Stock

Common Stock

Common Stock

Common Stock

Commo Stock

17,500

8,307

13,500

15,925

8,889

09/30/2023

07/31/2021

05/26/2021

07/31/2021

11/30/2021

10/01/2018

08/19/2016

11/27/2016

09/01/2016

11/23/2016

See Note<sup>(8)</sup>

I

D

D

D

D

17,500

8,307

13,500

15,925

8,889

Explanation of Responses:

\$<mark>1.4</mark>

\$<mark>5</mark>

\$<mark>6.15</mark>

**\$6.15** 

\$<mark>3</mark>

1. Shares received in lieu of cash payment of director's fees.

2. Represent shares owned by Taglich Brothers, Inc., of which the Reporting Person is Managing Director.

3. Represents shares acquired for which Mr. Taglich is the custodian for his children under NY UGMA.

4. Represents Issuer's 6% convertible notes received pursuant to amendment to Issuer's 8% convertible notes.

5. Does not include shares issued upon conversion for accrued interest on the Notes.

6. Represents 6% convertible notes issued to Taglich Brothers, Inc., of which the Reporting Person is Managing Director, pursuant to amendment to Issuer's 8% convertible notes originally issued in lieu of cash payment of commissions earned for acting as placement agent for the sale of Issuer's 8% convertible notes.

7. Represents 7% convertible notes issued to Taglich Brothers, Inc., of which the Reporting Person is Managing Director, issued in lieu of cash payment of commissions earned for acting as placement agent for the sale of Issuer's 7% convertible notes in January 2019.

8. Represents warrants issued to Taglich Brothers, Inc., of which the Reporting Person is Managing Director, for acting as placement agent for the sale of Issuer's shares of common stock in October 2018. 9. Assignment of a portion of Placement Agent Warrants originally issued to Taglich Brothers, Inc., of which the Reporting Person is Managing Director.

<u>/s/ Robert F. Taglich</u>

<u>01/06/2021</u> Date

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.